

# 温州肯恩大学办公室文件

温肯大办〔2017〕4号

## 温州肯恩大学办公室关于印发《温州肯恩大学科研奖励办法（试行）》的通知

各部门：

《温州肯恩大学科研奖励办法（试行）》已经校领导研究同意，现予印发。

温州肯恩大学办公室

2017年4月12日

# 温州肯恩大学科研奖励办法（试行）

## 第一章 总则

**第一条** 为进一步调动广大教职员工开展科学研究的积极性，鼓励和支持优秀学术论文出版、开展发明创造及实施科研项目，提升学校科研创新能力和学科建设水平，学校对具有较高学术水平的优秀学术论文、发明创造和科研项目予以奖励，特制定本办法。

**第二条** 奖励经费主要来源于学校预算拨款，同时积极接纳社会人士和组织捐款。

**第三条** 奖励对象为温州肯恩大学全日制教职员工。

## 第二章 学术论文奖励范围及标准

**第四条** 第一作者（或通讯作者）为本校人员，且第一署名单位为温州肯恩大学所发表的学术论文，学校将给予奖励。学术论文奖励标准如下：

| 级别  | 奖励标准<br>(万元/篇) | 具体对应数据库及期刊   |
|-----|----------------|--|
| 权威级 | 6              | SCI I 区收录论文；<br>《中国社会科学》；<br>QS 排名前 25 的学校出版刊物；<br><i>Management and Organization Review</i> 和 |

|      |     |   |
|------|-----|---|
|      |     | <i>Administrative Science Quarterly</i> 发表的论文。                              |
|      | 3   | SCI II 区收录论文；<br>《新华文摘》；<br>QS 排名前 50 的学校出版刊物。                              |
|      | 1.2 | SSCI；<br>AHCI 源期刊；<br>《浙江大学国内学术期刊名录》中的人文社科权威级学术期刊；<br>QS 排名前 75 的学校出版刊物。    |
|      | 1   | SCI III 区收录论文；<br>QS 排名前 100 的学校出版刊物。                                       |
| 一级   | 0.8 | SCI IV 区收录论文；<br>EI 收录期刊论文（不包括单页短文）；<br>《浙江大学国内学术期刊名录》中的国内一级学术期刊。           |
| 二级 A | 0.4 | 《浙江大学国内学术期刊名录》中的国内核心学术期刊；<br>《中国科学引文数据库（CSCD）》来源期刊；《中文社会科学引文索引（CSSCI）》来源期刊。 |
| 二级 B | 0.2 | CSCD 扩展库；CSSCI 扩展版；《中国人文社会科学核心期刊要览》（中国社科院）；《中文核心期刊总目要览》（北京大学）。              |
| 会议论文 | 0.2 | ISTP、ISSHP 收录论文；<br>EI 收录会议论文。  |
| 三级   | 0.1 | 未纳入上述范围的在国内外公开出版的专业学术期刊（非科普刊物）上发表的论文（国内期刊应在“CNKI 中国期刊全文数据库”中能够检索到）          |

（1）在《Science》、《Nature》上发表的论文奖励 60 万元，以第一作者且温州肯恩大学为第二署名单位发表的论文按 30% 计；在《Science》、《Nature》子刊上发表的论文奖励 15 万元。

(2) 国内出版的英文期刊与同名（或实质同名）的中文期刊同等对待。作者、篇名、内容基本相同的论文不重复奖励。学术期刊的增刊、专刊不予奖励。

(3) SCI 收录论文的分区以中国科学院文献情报中心公布的最新 JCR 大类分区表为标准。其他数据库及期刊信息均以最新版本为准，时间以最新版本发布时间起执行。

(4) 通讯作者为本校人员，第一作者非本校人员的论文，按 70% 奖励；通讯作者为非本校人员，第一作者为本校人员的论文，按 70% 奖励。国内人文社科类期刊论文以第一作者为准。

(5) 论文奖励不重复计算，以最高一档奖励计。

(6) 对应数据库及期刊信息在学校网站上予以公布。

### 第三章 发明创造奖励范围及标准

**第五条** 以温州肯恩大学作为专利权人或著作权人，且授权证书按规定在学校登记保存，按以下标准对专利发明人或软件开发者给予奖励。

| 专利类型       | 奖励标准(万元/项) | 备注                                    |
|------------|------------|---------------------------------------|
| 国际专利资助     | 4          | 获美国、日本、欧盟等国家授权的发明专利，同一发明专利最多补助 1 个国家。 |
| 国内专利资助     | 1.5        | 国家知识产权局批准授权的发明专利                      |
|            | 0.3        | 国家知识产权局批准授权的实用新型专利                    |
|            | 0.1        | 国家知识产权局批准授权的外观设计专利                    |
| 计算机软件著作权资助 | 0.1        | 国家版权局登记的计算机软件                         |

备注：发明专利权属于多家单位共有的，根据实际情况给予奖励。

## 第四章 科研项目奖励范围及标准

**第六条** 以“温州肯恩大学”为项目第一主持单位的科研项目，按以下标准进行奖励。

| 项目名称与类别   | 奖励标准<br>(万元) |
|---|--------------|
| 国家自然科学基金面上项目，国家自然科学基金组织间合作研究项目，国家国际科技合作项目，国家社会科学基金（含艺术、教育、军事单列学科）项目；作为主要成员参与国家科技重大专项、国家科技支撑计划项目（到账经费≥200万元），参与973计划项目（到账经费≥100万元），参与863计划项目（到账经费≥300万元） | 6            |
| 国家自然科学基金青年科学基金项目；<br>作为主要成员参与国家科技重大专项、国家科技支撑计划项目（到账经费≥100万元），参与973计划项目（到账经费≥50万元），参与863计划项目（到账经费≥150万元）   | 4            |
| 省科技计划重大项目，省社科规划重大项目，国家社会科学基金重大项目子课题（到账经费≥5万元）   | 3            |
| 国家自然科学基金专项项目、主任基金项目、小额资助项目，教育部人文社科重点研究基地重大项目，教育部科技研究重点项目，全国教育科学规划教育部重点项目，省科技计划重点项目，省杰出青年科学基金项目，省自然科学基金重点项目，省社科规划重点项目；<br>作为主要成员参与国家国际科技合作项目（到账经费≥80万元）  | 2            |
| 教育部科技、人文社科研究一般项目，全国教育科学规划教育部重点项目（经费自筹）、青年项目，省自然科学基金一般项目，省自然科学基金青年科学基金项目，省社科规划一般项目，科技部、教育部以外其他部级重点科研项目（到账经费：自然科学类项目≥15万，社科类项目≥5万）                        | 1.5          |
| 教育部科技、人文社科研究专项项目，全国教育科学规划教育部项目（经费自筹），省自然科学基金联合资助项目、小额资助项目，省科技计划一般项目，省社科规划学科共建、自筹经费项目，   | 1            |

|  |     |
|--|-----|
| 科技部、教育部以外其他部级一般科研项目（到账经费：自然科学类项目≥10万，社科类项目≥3万） |     |
| 厅局级、市级重点项目                                     | 0.5 |
| 厅局级、市级一般、自筹项目                                  | 0.3 |

备注：对到账经费有要求的项目，如小于规定金额，项目奖励标准降一档。

（1）以“温州肯恩大学”为项目第一主持单位的横向科研项目、国际资金资助类项目，按合同金额或实际资助金额的10%给予奖励。奖励金额最高不超过6万元。

（2）为鼓励本校教职员工与外单位联合申请科研项目，事先在科研办办理过申报手续的非第一主持单位的科研项目，根据实际情况给予奖励。

（3）在加大科研奖励的同时，对于教职员工承担的各级各类科研项目将按照国家关于改革和创新科研经费使用和管理方式有关规定，加大激励力度，以激发广大科研人员积极性和创造性。

## 第五章 奖励申请批准程序

**第七条** 符合本奖励办法的个人，需填写申请表（附件一或附件二），并提交已出版的学术论文稿、发明、实用新型或外观设计专利证书、计算机软件著作权证书、科研项目立项书、合同等材料至科研办公室。

**第八条** 科研办公室对申报材料进行审查，拟奖励项目报学校教学副校长审定后公示。公示期为5个工作日。无异议的，学校以正式文件方式公布奖励信息。

**第九条** 论文、发明创造、科研项目为同一研究成果的，不重复计算，按就高原则予以奖励。

**第十条** 以上各类奖励由项目负责人或第一作者根据贡献大小合理分配有关参与者。

**第十一条** 科研奖励按每年组织申报一次。未按时申报的，超过两年作自动放弃处理。

**第十二条** 各类奖励的个人所得税缴纳由获奖人按国家有关规定执行。

**第十三条** 除本办法第二章第(1)条涉及的奖励费用由学校教育发展基金会支出外，其余均在学校日常运营经费中安排。

## 第六章 附则

**第十四条** 获得各类奖励的个人或团队如存在剽窃、抄袭、仿冒、篡改、伪造等学术不端行为，一经核实，取消奖励并予以追回。

**第十五条** 本办法未提到的科研工作情况，但对学校有突出或重大贡献的，经个人或团队申请，可由科研办公室提出具体奖励方案，提交学校教学副校长审定通过后给予相应的奖励。

**第十六条** 此前学校其他相关制度与本办法规定不一致的，以本办法为准。本办法由科研办公室负责解释。



附件一

## 温州肯恩大学学术论文和发明创造成果奖励申请表

|                  |  |       |  |
|------------------|--|-------|--|
| 姓名               |  | 学院/部门 |  |
| 学历               |  | 职称    |  |
| Email            |  | 联系电话  |  |
| 论文/专利名称          |  |       |  |
| 发表刊物/专利类型        |  |       |  |
| 刊号/版次/专利证书号      |  |       |  |
| 申请奖励级别及金额        |  |       |  |
| 本人保证以上信息均真实可靠。   |  |       |  |
| 签字：<br><br>年 月 日 |  |       |  |
| 科研与资助项目管理办公室审核意见 | 依据《温州肯恩大学科研奖励办法》审核，该申请者发表的论文/发明创造符合资助条件。 |       |  |
|                  | 签字：<br><br>年 月 日                         |       |  |
| 分管校领导审核意见        | 签字：<br><br>年 月 日                         |       |  |

附件二

## 温州肯恩大学科研项目奖励申请表

|                  |                                     |       |  |
|------------------|-------------------------------------|-------|--|
| 姓名               |                                     | 学院/部门 |  |
| 学历               |                                     | 职称    |  |
| Email            |                                     | 联系电话  |  |
| 项目名称             |                                     |       |  |
| 项目类型             |                                     |       |  |
| 资助金额             |                                     |       |  |
| 申请奖励金额           |                                     |       |  |
| 本人保证以上信息均真实可靠。   |                                     |       |  |
| 签字：<br>年 月 日     |                                     |       |  |
| 科研与资助项目管理办公室审核意见 | 依据《温州肯恩大学科研奖励办法》审核，该申请者的科研项目符合资助条件。 |       |  |
|                  | 签字：<br>年 月 日                        |       |  |
| 分管校领导审核意见        | 签字：<br>年 月 日                        |       |  |

# **Wenzhou-Kean University Regulations on Rewards for Academic**

## **Research (Interim)**

### **Chapter I**

#### **General Principles**

Article 1 The regulations on rewards for published academic papers, invention or creation and research projects are formulated to mobilize faculty and staff to carry out research at Wenzhou-Kean University (WKU), to encourage and support the publication of first-class academic papers, invention or creation, and improve the planning and implementation of research projects, to foster academic research. The university will reward high-quality academic theses, invention or creation and research projects. Hence the regulations are established.

Article 2 The main sources of rewards for academic papers, invention-creation and research projects are university budgetary allocation and public donations.

Article 3 Only full time faculty and qualified staff at WKU can be rewarded for their academic research.

## Chapter II

### Scope and Standards for Published Academic Papers Rewarding

Article 4 The applicant must be the first author (or corresponding author).

Further, WKU must be the first affiliation of the published academic paper. Standards of rewards are as follows:

| Grade         | Standard (RMB yuan) | Notes  |
|---------------|---------------------|--|
| Authoritative | 60,000              | <ul style="list-style-type: none"><li>• Papers included in SCI I</li><li>• <i>Social Sciences in China</i></li><li>• Papers included in QS Top 25 University Publications</li><li>• Papers included in <i>Management and Organization Review, Administrative Science Quarterly</i></li></ul> |
|               | 30,000              | <ul style="list-style-type: none"><li>• Papers included in SCI II</li><li>• <i>XinHua Digest</i></li><li>• Papers included in QS Top 50 University Publications</li></ul>  |
|               | 12,000              | <ul style="list-style-type: none"><li>• SSCI</li><li>• AHCI source journal</li><li>• Papers included in authoritative academic journals of humanities and social science compiled by Zhejiang University</li><li>• Papers included in QS Top 75 University Publications</li></ul>            |
|               | 10,000              | <ul style="list-style-type: none"><li>• Papers included in SCI III</li><li>• Papers included in QS Top 100 University Publications</li></ul>   |
| First-Class   | 8,000               | <ul style="list-style-type: none"><li>• Papers included in SCI IV</li><li>• Papers included in EI, excluding single page essay</li><li>• Papers included in national key academic journals compiled by Zhejiang University</li></ul>   |

|                   |       |  |
|-------------------|-------|--|
| Second-Class A    | 4,000 | <ul style="list-style-type: none"> <li>• Papers included in national core academic journals compiled by Zhejiang University</li> <li>• Papers included in <i>Chinese Science Citation Database (CSCD)</i></li> <li>• Papers included in <i>Chinese Social Sciences Citation Index (CSSCI)</i></li> </ul> |
| Second-Class B    | 2,000 | <ul style="list-style-type: none"> <li>• CSCD expand</li> <li>• CSSCI expand</li> <li>• Papers included in <i>Core Humanities and Social Science Journal Catalog Overview</i> compiled by Chinese Academy of Social Sciences and Beijing University</li> </ul>   |
| Conference Papers | 2,000 | <ul style="list-style-type: none"> <li>• Papers included in ISTP and ISSHP</li> <li>• Papers included in EI conference academic papers</li> </ul>  |
| Third-Class       | 1,000 | <ul style="list-style-type: none"> <li>• Papers included in CNKI (China National Knowledge Infrastructure) or in overseas published journals not involved above</li> </ul>   |

(1) The first author whose academic paper is included in *Science* or *Nature* and whose first affiliation is WKU will be awarded 600,000 Yuan. The first author whose academic paper is included in *Science* or *Nature* and whose second affiliation is WKU will be awarded 30% of the total amount. Besides, the first author whose academic paper is published in *Science* or *Nature* subordinate series will be awarded 150,000 Yuan.

(2) Domestic periodicals in English are considered the same as periodicals in Chinese. The academic papers of which the author, title, content are very similar cannot receive multiple awards. Supplemental, special editions of academic periodicals are not awarded.

(3) SCI I- IV is divided based on the latest JCR category released by National Science Library, Chinese Academy of Science. All journal databases and indexes are subject to the latest editions and shall be implemented from the date of their promulgation.

(4) The full-time WKU faculty and staff who is either first author or corresponding author is awarded 70% of the total amount. The reward for the academic papers published in Chinese humanities and social science journals must be subject to the first author.

(5) The rewards for published academic papers shall not be counted twice, and the reward is subject to the maximum amount.

(6) The information about the journal databases and indexes shall be published on WKU website.

### **Chapter III**

#### **Scope and Standards for Invention-Creation**

Article 5 WKU holds the patent, and the authorization certificate is registered and preserved by WKU. Standards of rewards for patent owner and copyright owner are as follows:

| Type of Patent             | Standard (RMB yuan/item) | Remarks   |
|----------------------------|--------------------------|---|
| International Patent       | 40,000                   | Invention Patent issued by the United States, Japan or the EU. The same invention patent can only receive rewards one time. |
| Domestic Patent (in China) | 15,000                   | Invention Patent issued by State Intellectual Property Office   |

|                            |       |   |
|----------------------------|-------|---|
|                            | 3,000 | Utility Model Patent issued by State Intellectual Property Office |
|                            | 1,000 | Design Patent issued by State Intellectual Property Office        |
| Computer Science Copyright | 1,000 | Computer Software registered by National Copyright Administration |

If the invention patent belongs to more than two patentees, the reward is adjusted according to the actual situation.

## Chapter IV

### Scope and Standards for Research Project

Article 6 Wenzhou-Kean University must be the first host institution of the research project. Standards of rewards are as follows:

| Item and Category   | Standard (yuan) |
|---|-----------------|
| National Natural Science Foundation of China (General Program), NSFC (Inter Organizational Cooperation Program), NSFC (International Science and Technology Cooperation Program) , National Social Science Foundation Program of China; as a principal member participating in National Science and Technology Major Project of China or National Key Technology Research and Development Program of China(no less than 2 million yuan), National Program on Key Basic Research Project of China <i>973 Program</i> (no less than 1 million yuan), National High-tech R&D Program of China <i>863 Program</i> (no less than 3 million yuan) | 60,000          |
| National Natural Science Foundation of China for Young Scholars; as a principal member participating in National Science and Technology Major Project of China or National Key Technology Research and Development Program of China (no less than 1 million yuan), National Program on Key Basic Research Project of China <i>973 Program</i> (no less than 500,000 yuan), National High-tech R&D Program of China <i>863 Program</i> (no less than 1.5 million yuan)   | 40,000          |
| Provincial Science and Technology Planning for Major Program, Provincial Philosophy and Social Science Planning for Major Program, National Social Science Foundation of China for Major Program Sub-Topics (no less than 50,000 yuan)  | 30,000          |

|  |        |
|--|--------|
| Special Funds of the National Natural Science Foundation of China, Director Funds(NSFC), Small Grant Funds(NSFC), Major Research Base Program of Humanities and Social Science of the Ministry of Education of China, Key Project of the Ministry of Education of China, National Education Science Planning for Key Program, Provincial Science and Technology Planning for Key Program, Provincial Natural Science Foundation for Distinguished Young Scholars, Provincial Natural Science Foundation for Key Program, Provincial Philosophy and Social Science Planning for Key Program, as a principal member participating in International S&T Cooperation Program (no less than 800,000 yuan) | 20,000 |
| Natural Science and Social Science Foundation of the Ministry of Education of China for General Program, National Education Science Planning for Key Program (self-raised funds), Young Scholars, Provincial Natural Science Foundation (General Program), PNSF (Young Scholars), Provincial Philosophy and Social Science Planning for General Program, other ministerial key program (natural science no less than 150,000 yuan, social science no less than 50,000 yuan)  | 15,000 |
| Special Funds of the Natural Science and Social Science Foundation of the Ministry of Education of China, National Education Science Planning for General Program (self-raised funds), Provincial Natural Science Foundation for Joint Research Program and Small Grant Program, Provincial Science and Technology Planning for General Program, Provincial Philosophy and Social Science Planning for Collaborative Program and Self-Raised Funds Program, other ministerial general program (natural science no less than 100,000 yuan, social science no less than 30,000 yuan)   | 10,000 |
| Municipal Key Program  | 5,000  |
| Municipal General Program, Self-raised Funds Program   | 3,000  |

Note: If the funds of the sponsored program is less than the required amount, the reward standard for the sponsored program shall apply to the lower level.

1. The research project which is collaborated or sponsored by enterprise or institutes, and international fund projects shall be awarded 10% of the funds stipulated in the contract or actual funds based on the fact that WKU is the first host institution of the research project. The maximum amount is no more than 60,000 yuan.



2. Applicants who apply for non-first host institution of research project in advance, can also be awarded according to actual situation.

3. Besides rewarding for academic research, more incentive measures on the sponsored research funding using and management shall be carried out to stimulate the enthusiasm and creativity of researchers in accordance with the relevant government provisions.

## **Chapter V**

### **The Procedures for Approval of Rewards**

Article 7 Required Forms Check List (submitted to Office of Research and Sponsored Programs ORSP)

1. Two hardcopies of *Wenzhou-Kean University Rewards for Academic Papers and Invention-Creation Application Form and Wenzhou-Kean University Rewards for Academic Research Projects* (Appendix 1 or Appendix 2)
2. Two hardcopies of published academic papers or certificates of invention patent/design or utility model patent/computer software copyright, sponsored research project announcement and contract.

Article 8 The materials from each applicant shall be examined by the ORSP, evaluated and approved by the Vice Chancellor for Academic Affairs. The rewards will be officially approved after publicity without objection during 5-working-day announcement.

Article 9 In case published academic paper, invention or creation and sponsored research project result belong to the same research achievement, reward shall not be counted twice, and the reward is subject to the maximum amount.

Article 10 All rewards shall be distributed appropriately by the principal investigator or the first author according to the participants' contributions.

Article 11 Applicant may apply for the reward once a year. If fails to apply within two years will be considered as waiver.

Article 12 The individual income tax on rewards shall be paid by awardees in accordance with the state regulations.

Article 13 With the exception of rewards mentioned in the (1) Chapter II which is sponsored by WKU Foundation, funds for other rewards are supported the general expense.

## **Chapter VI**

### **Supplemental Article**

Article 14 Any rewards for academic research that are reported and verified to contain academic fraud, such as plagiarism, counterfeiting, tampering, forgery and other academic misconduct shall be canceled, where authors who received an award under these regulations are subsequently found to have violated Academic integrity standards, they will be required to return the award in full.

Article 15 Individual or group can apply for the reward if their outstanding or significant research achievements were not included in the listed items. The reward

proposal can be put forward to ORSP and approved by the Vice Chancellor for Academic Affairs.

Article 16 ORSP at WKU will be responsible for interpretation of this document. In the event of any inconsistency between this regulation with other relevant measures, this regulation prevails.

Wenzhou-Kean University

Appendix 1

**Wenzhou-Kean University Rewards for Published Academic Papers  
and Invention-Creation Application Form**

Submission Date:

|  |  |               |  |
|--|--|---------------|--|
| Name   |  | College       |  |
| Educational background   |  | Title         |  |
| Email  |  | Telephone No. |  |
| Title of published academic papers/Patent  |  |               |  |
| Publication/Type of Patent   |  |               |  |
| Serial Number/Edition/Number of Certificate of Patent  |  |               |  |
| Reward Grade and amount  |  |               |  |
| <p>I hereby declare that the above statement is true to the best of my knowledge and belief, and I will undertake all results therefrom.</p> <p align="center">Signature:</p> <p align="center">Date</p> |  |               |  |
| Office of Research and Sponsored Programs  | <p align="center">According to <i>Wenzhou-Kean University Regulations for Rewards for Academic Research</i>, the applicant's condition meets the requirements.</p> <p align="center">Signature:</p> <p align="center">Date</p> |               |  |
| Vice Chancellor for Academic Affairs   | <p align="center">Signature:</p> <p align="center">Date</p>  |               |  |

Appendix 2

**Wenzhou-Kean University Rewards for Academic Research Projects**

**Application Form**

Submission Date:

|  |   |               |  |
|--|---|---------------|--|
| Name   |   | College       |  |
| Educational background   |   | Title         |  |
| Email  |   | Telephone No. |  |
| Title of Academic Research Project   |   |               |  |
| Item and Category  |   |               |  |
| The Amount of Financial Support  |   |               |  |
| Reward Amount  |   |               |  |
| <p>I hereby declare that the above statement is true to the best of my knowledge and belief, and I will undertake all results therefrom.</p> <p style="text-align: center;">Signature:</p> <p style="text-align: center;">Date</p> |   |               |  |
| Office of Research and Sponsored Programs  | <p style="text-align: center;">According to <i>Wenzhou-Kean University Regulations for Rewards for Academic Research</i>, the applicant's condition meets the requirements.</p> <p style="text-align: center;">Signature:</p> <p style="text-align: center;">Date</p> |               |  |
| Vice Chancellor for Academic Affairs   | <p style="text-align: center;">Signature:</p> <p style="text-align: center;">Date</p>   |               |  |